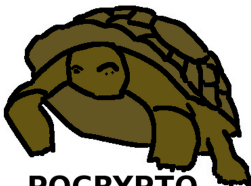


Post-quantum cryptography

Tanja Lange
(with Daniel J. Bernstein)

Technische Universiteit Eindhoven



PQCRYPTO
ICT-645622

17 January 2016

8th Winter School on Quantum Cybersecurity

Cryptography

- Motivation #1: Communication channels are spying on our data.
- Motivation #2: Communication channels are modifying our data.



- Literal meaning of cryptography: “secret writing”.
- Achieves various security goals by secretly transforming messages.

16 / 55

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https://www.iacr.org/docs/minutes/c2013mem-slides.pdf

❌

www.iacr.org

Your connection to this site is private.

Permissions

Connection

🔒

The identity of this website has been verified by RapidSSL SHA256 CA - G3. No Certificate Transparency information was supplied by the server.
[Certificate information](#)

🔒

Your connection to www.iacr.org is encrypted using a modern cipher suite.

The connection uses TLS 1.2.

The connection is encrypted and authenticated using AES_128_GCM and uses ECDHE_RSA as the key exchange mechanism.

[What do these mean?](#)



2013

iacrmemHEREATiacr.org

1702

Members
(1580 in 2012)

1245

Regular+

457

Students

⌕

+

-



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iacrm



Secret-key encryption



- ▶ Prerequisite: Alice and Bob share a secret key .
- ▶ Prerequisite: Eve doesn't know .
- ▶ Alice and Bob exchange any number of messages.
- ▶ Security goal #1: **Confidentiality** despite Eve's espionage.



Secret-key authenticated encryption



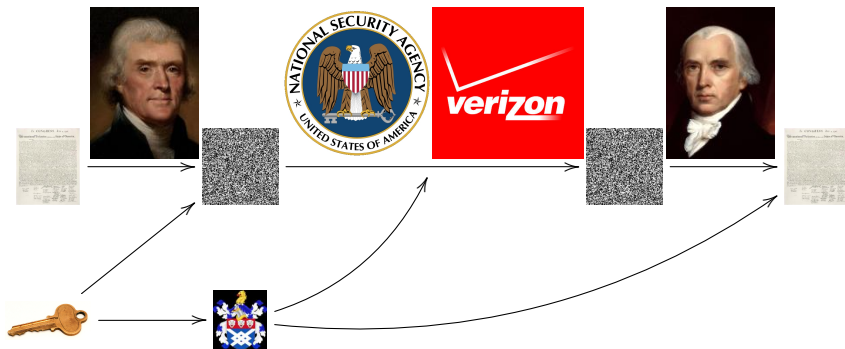
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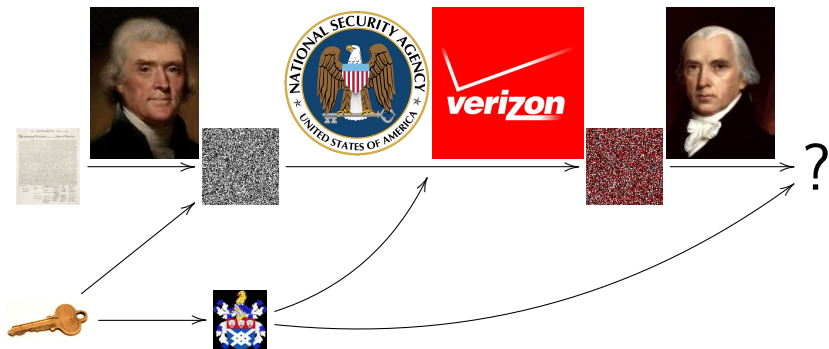
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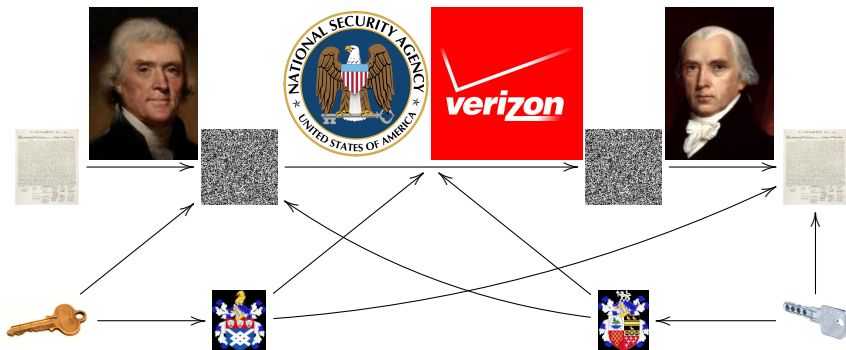
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Public-key authenticated encryption (“DH” data flow)



- ▶ Prerequisite: Alice has a secret key  and public key .
- ▶ Prerequisite: Bob has a secret key  and public key .
- ▶ Alice and Bob exchange any number of messages.
- ▶ Security goal #1: Confidentiality.
- ▶ Security goal #2: Integrity.

Many more security goals studied in cryptography

- ▶ Protecting against denial of service.
- ▶ Stopping traffic analysis.
- ▶ Securely tallying votes.
- ▶ Searching encrypted data.
- ▶ Much more.

Attackers exploit physical reality

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- ▶ Focus of this lecture: Large universal **quantum computers**.
- ▶ Mark Ketchen, IBM Research, 2012, on quantum computing:
“We’re actually doing things that are making us think like, ‘hey this isn’t 50 years off, this is maybe just 10 years off, or 15 years off.’ It’s within reach.”
- ▶ Fast-forward to 2022, or 2027. Universal quantum computers exist.
- ▶ Shor’s algorithm solves in polynomial time:
 - ▶ Integer factorization. RSA is dead.
 - ▶ The discrete-logarithm problem in finite fields. DSA is dead.
 - ▶ The discrete-logarithm problem on elliptic curves. ECDHE is dead.
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- ▶ This breaks all current public-key cryptography on the Internet!
- ▶ Also, Grover’s algorithm speeds up brute-force searches.
- ▶ Example: Only 2^{64} quantum operations to break AES-128;
 2^{128} quantum operations to break AES-256.



Physical cryptography: a return to the dark ages

- ▶ Example: Locked briefcases.
- ▶ One-time pad is information-theoretically secure, i.e. no computational assumptions.
- ▶ Horrendously expensive.
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- ▶ Very limited functionality: e.g., no public-key signatures.



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- ▶ Many stages of research from cryptographic design to deployment:
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 - ▶ Study side-channel attacks, fault attacks, etc.
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 - ▶ Focus on implementations meeting performance requirements.
 - ▶ Integrate securely into real-world applications.

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 - ▶ Integrate securely into real-world applications.
- ▶ Example: ECC introduced **1985**; big advantages over RSA. Robust ECC started to take over the Internet in **2015**.
- ▶ Can't wait for quantum computers before finding a solution!

Even higher urgency for long-term confidentiality

- Today's encrypted communication is being stored by attackers and will be decrypted years later with quantum computers. Danger for human-rights workers, medical records, journalists, security research, legal proceedings, state secrets, ...



Is there any hope? Yes!

Post-quantum crypto is crypto that resists attacks by quantum computers.

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- ▶ PQCrypto 2017 planned (in Europe).



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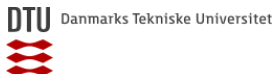
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- ▶ PQCrypto 2017 planned (in Europe).
- ▶ New EU project, 2015–2018:
PQCRYPTO, Post-Quantum Cryptography for Long-term Security.



Post-Quantum Cryptography for Long-term Security

- ▶ Project funded by EU in Horizon 2020.
- ▶ Starting date 1 March 2015, runs for 3 years.
- ▶ 11 partners from academia and industry, TU/e is coordinator



Radboud Universiteit



TECHNISCHE
UNIVERSITÄT
DARMSTADT



University of Haifa



Work packages

Technical work packages

- ▶ WP1: Post-quantum cryptography for small devices
Leader: Tim Güneysu, co-leader: Peter Schwabe
- ▶ WP2: Post-quantum cryptography for the Internet
Leader: Daniel J. Bernstein, co-leader: Bart Preneel
- ▶ WP3: Post-quantum cryptography for the cloud
Leader: Nicolas Sendrier, co-leader: Lars Knudsen

Non-technical work packages

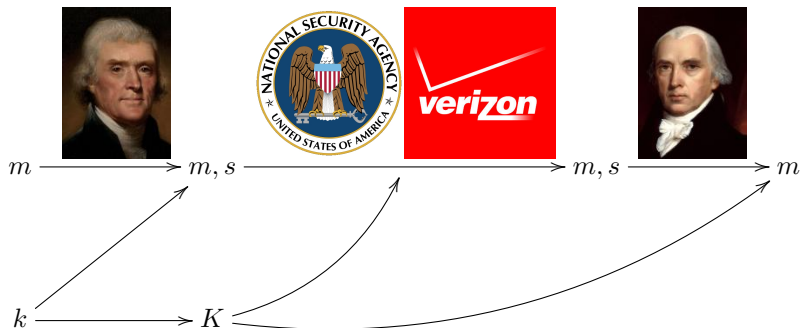
- ▶ WP4: Management and dissemination
Leader: Tanja Lange
- ▶ WP5: Standardization
Leader: Walter Fumy

Post-quantum secret-key authenticated encryption



- ▶ Very easy solutions if secret key k is long uniform random string:
 - ▶ “One-time pad” for encryption.
 - ▶ “Wegman–Carter MAC” for authentication.
- ▶ AES-256: Standardized method to expand 256-bit k into string indistinguishable from long k .
- ▶ AES introduced in 1998 by Daemen and Rijmen. Security analyzed in papers by dozens of cryptanalysts.
- ▶ No credible threat from quantum algorithms. Grover costs 2^{128} .

Post-quantum public-key signatures: hash-based



- ▶ Secret key k , public key K .
- ▶ Only one prerequisite: a good hash function, e.g. SHA3-512, ...
Hash functions map long strings to fixed-length strings.
Signature schemes use hash functions in handling m .
- ▶ Old idea: 1979 Lamport one-time signatures.
- ▶ 1979 Merkle extends to more signatures.
- ▶ Many further improvements.
- ▶ Security thoroughly analyzed.

A signature scheme for empty messages: key generation

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```
from simplesha3 import sha3256

def keypair():
    secret = sha3256(os.urandom(32))
    public = sha3256(secret)
    return public, secret
```

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```
>>> import signempty  
>>> pk, sk = signempty.keypair()  
>>> binascii.hexlify(pk)  
'a447bc8d7c661f85defcf1bbf8bad77bfc6191068a8b658c99c7ef4cbe37cf9  
>>> binascii.hexlify(sk)  
'a4a1334a6926d04c4aa7cd98231f4b644be90303e4090c358f2946f1c257687'
```



A signature scheme for empty messages: signing, verification

```
def sign(message,secret):  
    if message != '': raise Exception('nonempty message')  
    signedmessage = secret  
    return signedmessage  
  
def open(signedmessage,public):  
    if sha3256(signedmessage) != public:  
        raise Exception('bad signature')  
    message = ''  
    return message
```

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```

```
>>> sm = signempty.sign('',sk)  
>>> signempty.open(sm,pk)  
,,
```



A signature scheme for 1-bit messages: key generation, signing

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```
import signempty

def keypair():
    p0,s0 = signempty.keypair()
    p1,s1 = signempty.keypair()
    return p0+p1,s0+s1

def sign(message,secret):
    if message == 0:
        return '0' + signempty.sign('',secret[0:32])
    if message == 1:
        return '1' + signempty.sign('',secret[32:64])
    raise Exception('message must be 0 or 1')
```



A signature scheme for 1-bit messages: verification

```
def open(signedmessage,public):  
    if signedmessage[0] == '0':  
        signempty.open(signedmessage[1:],public[0:32])  
        return 0  
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```

```
>>> import signbit  
>>> pk,sk = signbit.keypair()  
>>> sm = signbit.sign(1,sk)  
>>> signbit.open(sm,pk)  
1
```

A signature scheme for 4-bit messages: key generation

```
import signbit

def keypair():
    p0,s0 = signbit.keypair()
    p1,s1 = signbit.keypair()
    p2,s2 = signbit.keypair()
    p3,s3 = signbit.keypair()
    return p0+p1+p2+p3,s0+s1+s2+s3
```

A signature scheme for 4-bit messages: signing

```
def sign(m,secret):  
    if type(m) != int: raise Exception('message must be int')  
    if m < 0 or m > 15:  
        raise Exception('message must be between 0 and 15')  
    sm0 = signbit.sign(1 & (m >> 0),secret[0:64])  
    sm1 = signbit.sign(1 & (m >> 1),secret[64:128])  
    sm2 = signbit.sign(1 & (m >> 2),secret[128:192])  
    sm3 = signbit.sign(1 & (m >> 3),secret[192:256])  
    return sm0+sm1+sm2+sm3
```

A signature scheme for 4-bit messages: verification

```
def open(sm,public):  
    m0 = signbit.open(sm[0:33],public[0:64])  
    m1 = signbit.open(sm[33:66],public[64:128])  
    m2 = signbit.open(sm[66:99],public[128:192])  
    m3 = signbit.open(sm[99:132],public[192:256])  
    return m0 + 2*m1 + 4*m2 + 8*m3
```

Achtung: Do not use one secret key to sign two messages!

```
>>> import sign4bits
>>> pk,sk = sign4bits.keypair()
>>> sm11 = sign4bits.sign(11,sk)
>>> sign4bits.open(sm11,pk)
11
>>> sm7 = sign4bits.sign(7,sk)
>>> sign4bits.open(sm7,pk)
7
>>> forgery = sm7[:99] + sm11[99:]
>>> sign4bits.open(forgery,pk)
15
```

Lamport's 1-time signature system

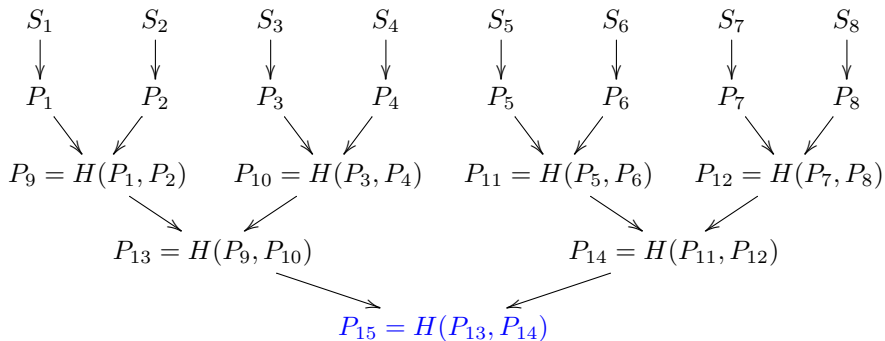
- Scale up to 256-bit messages.
- Sign arbitrary-length message by signing its 256-bit hash:

```
def sign(message,secret):  
    h = sha3256(message)  
    hbits = [1 & (ord(h[i/8])>>(i%8)) for i in range(256)]  
    sigs = [signbit.sign(hbits[i],secret[64*i:64*i+64])  
            for i in range(256)]  
    return ''.join(sigs) + message
```

- Space improvement: “Winternitz signatures”.

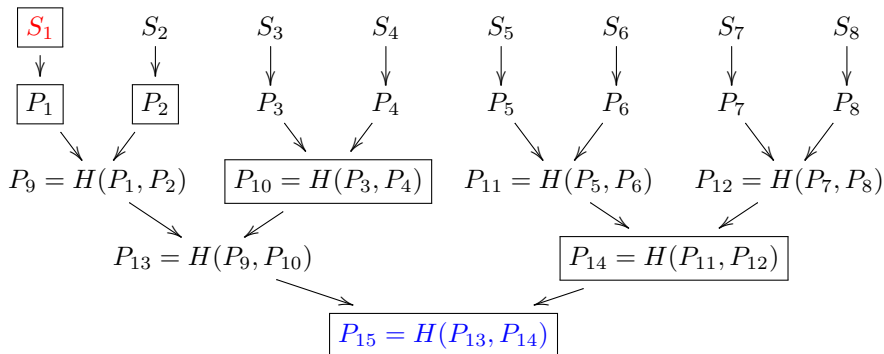
Merkle's (e.g.) 8-time signature system

Hash 8 Lamport one-time public keys into a single Merkle public key P_{15} .



Signature in 8-time Merkle hash tree

Signature of first message: $(\text{sign}(m, S_1), P_1, P_2, P_{10}, P_{14})$.



Pros and cons

Pros:

- Post quantum
- Only need secure hash function
- Small public key
- Security well understood
- Fast
- Proposed for standards: <https://tools.ietf.org/html/draft-irtf-cfrg-xmss-hash-based-signatures-01>

[\[Docs\]](#) [\[txt|pdf|xml|html\]](#) [\[Tracker\]](#) [\[WG\]](#) [\[Email\]](#) [\[Diff1\]](#) [\[Diff2\]](#) [\[Nits\]](#)
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Verisign Labs
July 3, 2015

XMSS: Extended Hash-Based Signatures draft-irtf-cfrg-xmss-hash-based-signatures-01

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- Small public key
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- Fast
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Cons:

- Biggish signature
 - Stateful
- Adam Langley “for most environments it’s a huge foot-cannon.”

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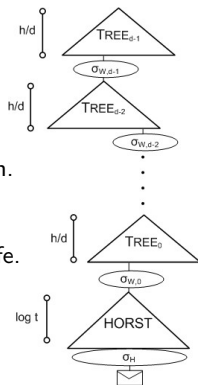
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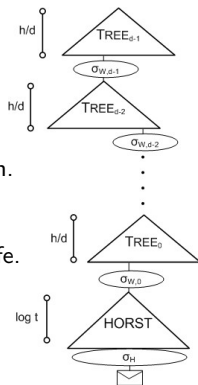
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 - Signer builds huge tree of certificate authorities.
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 - **Random** bottom-level CA signs message. Many bottom-level CAs, so one-time signature is safe.



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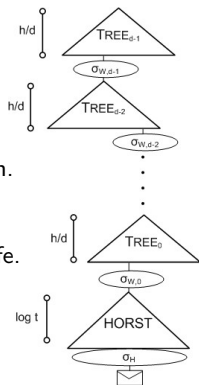
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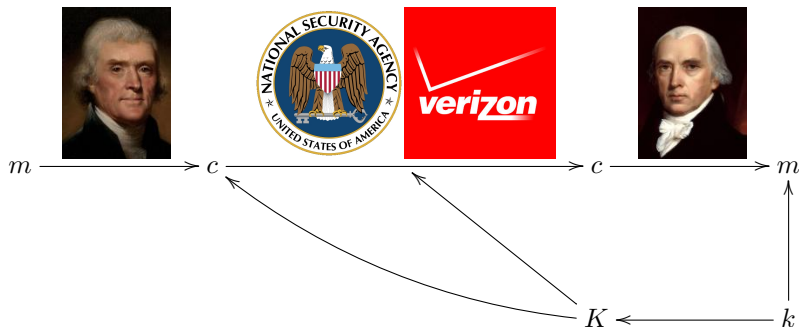
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sphincs.cr.yp.to



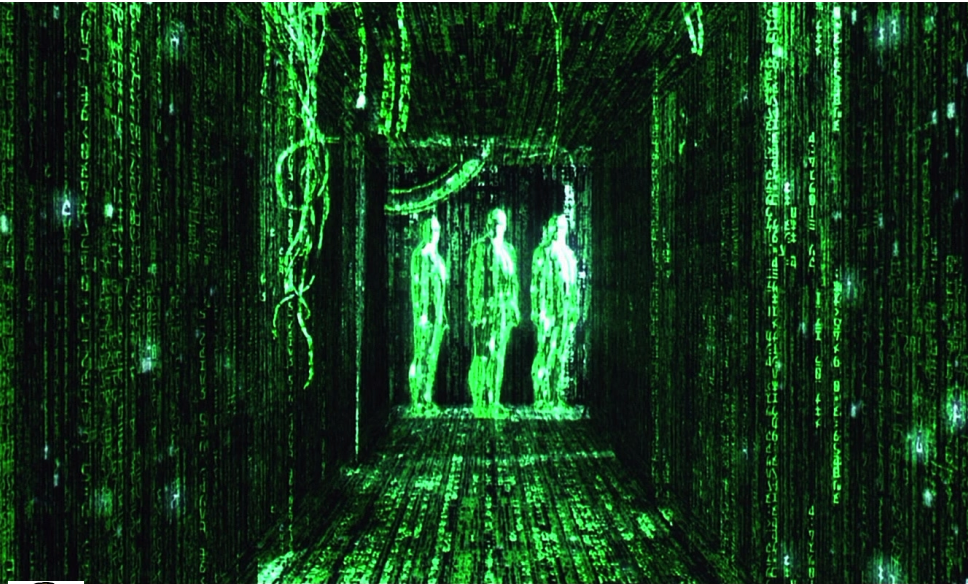
Post-quantum public-key encryption: code-based



- ▶ Alice uses Bob's public key K to encrypt.
- ▶ Bob uses his secret key k to decrypt.
- ▶ Code-based crypto proposed by McEliece in 1978.
- ▶ Almost as old as RSA, but much stronger security history.
- ▶ Many further improvements.

Error correction

- ▶ Digital media is exposed to memory corruption.
- ▶ Many systems check whether data was corrupted in transit:
 - ▶ ISBN numbers have check digit to detect corruption.
 - ▶ ECC RAM detects up to two errors and can correct one error.
64 bits are stored as 72 bits: extra 8 bits for checks and recovery.
- ▶ In general, k bits of data get stored in n bits, adding some redundancy.
- ▶ If no error occurred, these n bits satisfy $n - k$ parity check equations; else can correct errors from the error pattern.
- ▶ Good codes can correct many errors without blowing up storage too much;
offer guarantee to correct t errors (often can correct or at least detect more).
- ▶ To represent these check equations we need a matrix.



Hamming code

Parity check matrix ($n = 7, k = 4$):

$$H = \begin{pmatrix} 1 & 0 & 0 & 1 & 1 & 0 & 1 \\ 0 & 1 & 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 & 1 \end{pmatrix}$$

An error-free string of 7 bits $\mathbf{b} = (b_0, b_1, b_2, b_3, b_4, b_5, b_6)$ satisfies these three equations:

$$\begin{array}{cccccccl} b_0 & & & +b_3 & +b_4 & & +b_6 & = & 0 \\ & b_1 & & +b_3 & & +b_5 & +b_6 & = & 0 \\ & & b_2 & & +b_4 & +b_5 & +b_6 & = & 0 \end{array}$$

If one error occurred at least one of these equations will not hold.
Failure pattern uniquely identifies the error location,
e.g., 1, 0, 1 means

Hamming code

Parity check matrix ($n = 7, k = 4$):

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In math notation, the failure pattern is $H \cdot \mathbf{b}$.

Coding theory

- ▶ Names: code word \mathbf{c} , error vector \mathbf{e} , received word $\mathbf{b} = \mathbf{c} + \mathbf{e}$.
- ▶ Very common to transform the matrix so that the left part has just 1 on the diagonal (no need to store that).

$$H = \begin{pmatrix} 1 & 0 & 0 & 1 & 1 & 0 & 1 \\ 0 & 1 & 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 & 1 \end{pmatrix} \rightsquigarrow \begin{pmatrix} 1 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 \\ 0 & 1 & 1 & 1 \end{pmatrix}$$

- ▶ Many special constructions discovered in 65 years of coding theory:
 - ▶ Large matrix H .
 - ▶ Fast decoding algorithm to find \mathbf{e} given $\mathbf{s} = H \cdot (\mathbf{c} + \mathbf{e})$, whenever \mathbf{e} doesn't have too many bits set.
- ▶ Given large H , usually very hard to find fast decoding algorithm.
- ▶ Use this difference in complexities for encryption.

Code-based encryption

- ▶ 1971 Goppa: Fast decoders for many matrices H .
- ▶ 1978 McEliece: Use Goppa codes for public-key cryptography.
 - ▶ Original parameters designed for 2^{64} security.
 - ▶ 2008 Bernstein–Lange–Peters: broken in $\approx 2^{60}$ cycles.
 - ▶ Easily scale up for higher security.
- ▶ 1986 Niederreiter: Simplified and smaller version of McEliece.
 - ▶ Public key: H with 1's on the diagonal.
 - ▶ Secret key: the fast Goppa decoder.
 - ▶ Encryption: Randomly generate e with t bits set.
Send $H \cdot e$.
 - ▶ Use hash of e to encrypt message with symmetric crypto (with 256 bits key).
- ▶ Very fast constant-time decryption:
<https://binary.cr.yp.to/mcbits.html>.

Security analysis

- Some papers studying algorithms for attackers:
1962 Prange; 1981 Omura; 1988 Lee–Brickell; 1988 Leon; 1989 Krouk;
1989 Stern; 1989 Dumer; 1990 Coffey–Goodman; 1990 van Tilburg;
1991 Dumer; 1991 Coffey–Goodman–Farrell; 1993 Chabanne–Courteau;
1993 Chabaud; 1994 van Tilburg; 1994 Canteaut–Chabanne;
1998 Canteaut–Chabaud; 1998 Canteaut–Sendrier;
2008 Bernstein–Lange–Peters; 2009 Bernstein–Lange–Peters–van Tilborg;
2009 Bernstein (post-quantum); 2009 Finiasz–Sendrier;
2010 Bernstein–Lange–Peters; 2011 May–Meurer–Thomae;
2011 Becker–Coron–Joux; 2012 Becker–Joux–May–Meurer;
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- ▶ 256 KB public key for 2^{146} pre-quantum security.
- ▶ 512 KB public key for 2^{187} pre-quantum security.
- ▶ 1024 KB public key for 2^{263} pre-quantum security.

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- ▶ Post-quantum (Grover): below 2^{263} , above 2^{131} .

Many more post-quantum suggestions

- ▶ QC-MDPC: variant with much smaller keys, but is it secure?
- ▶ Many more code-based systems. Some broken, some not.
- ▶ NTRU: 1990s “lattice-based” system, similar to QC-MDPC. Security story less stable than code-based cryptography.
- ▶ Many more lattice-based systems. Some broken, some not. e.g., 2014 quantum break of 2009 Smart–Vercauteren system.
- ▶ Many multivariate-quadratic systems. Some broken, some not. Highlight: very small signatures.
- ▶ More exotic possibility that needs analysis: isogeny-based crypto. Highlight: supports DH.

Initial recommendations of long-term secure post-quantum systems

Daniel Augot, Lejla Batina, Daniel J. Bernstein, Joppe Bos,
Johannes Buchmann, Wouter Castryck, Orr Dunkelman,
Tim Güneysu, Shay Gueron, Andreas Hülsing,
Tanja Lange, Mohamed Saied Emam Mohamed,
Christian Rechberger, Peter Schwabe, Nicolas Sendrier,
Frederik Vercauteren, Bo-Yin Yang

Initial recommendations

- ▶ **Symmetric encryption** Thoroughly analyzed, 256-bit keys:

- ▶ AES-256
- ▶ Salsa20 with a 256-bit key

Evaluating: Serpent-256, ...

- ▶ **Symmetric authentication** Information-theoretic MACs:

- ▶ GCM using a 96-bit nonce and a 128-bit authenticator
- ▶ Poly1305

- ▶ **Public-key encryption** McEliece with binary Goppa codes:

- ▶ length $n = 6960$, dimension $k = 5413$, $t = 119$ errors

Evaluating: QC-MDPC, Stehlé-Steinfeld NTRU, ...

- ▶ **Public-key signatures** Hash-based (minimal assumptions):

- ▶ XMSS with any of the parameters specified in CFRG draft
- ▶ SPHINCS-256

Evaluating: HFEv-, ...

Further resources

- ▶ <https://pqcrypto.org>: Our survey site.
 - ▶ Many pointers: e.g., PQCrypto 2016.
 - ▶ Bibliography for 4 major PQC systems.
- ▶ <https://pqcrypto.eu.org>: PQCRIPTO EU project.
Coming soon:
 - ▶ Expert recommendations.
 - ▶ Free software libraries.
 - ▶ More benchmarking to compare cryptosystems.
 - ▶ 2017: workshop and spring/summer school.
- ▶ https://twitter.com/pqc_eu: PQCRIPTO Twitter feed.